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JCS55 U.S. PTO

Customer 00959  
Case Docket No. BBI-102CP

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THE ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
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09/30/98  
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Date of Deposit August 26, 1999  
I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.  
Nelson Barros  
Signature  
Nelson Barros  
Please Print Name of Person Signing

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Heinz Schaller, Ulrike Protzer, and Michael Nassal

For: METHODS AND COMPOSITIONS FOR EXPRESSING HETEROLOGOUS GENES IN HEPATOCYTES  
USING HEPADNAVIRAL VECTORS

Enclosed are:

- ☒ This is a request for filing an application under 37 CFR 1.53(b), which application is a utility conversion of prior Provisional Application Serial No. 60/098,173, filed August 26, 1998 and entitled "Methods and Compositions for Expressing Heterologous Genes in Hepatocytes Using Hepadnaviral Vectors".
- ☒ 30 pages of specification, 5 pages of claims, 1 page of abstract.
- ☒ 7 sheets of drawings (Figures 1-7).
- ☒ A Declaration, Petition and Power of Attorney (6 pp., unexecuted).
- ☐ An assignment of the invention to \_\_\_\_\_ A recordation form cover sheet (Form PTO 1595) is also enclosed.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☐ Other \_\_\_\_\_

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY			OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	////////////////////		////////	\$	OR	////////	\$ 760
TOTAL CLAIMS	40 - 20	= 20	x 9=	\$	OR	x 18=	\$ 360
INDEP. CLAIMS	5 - 3	= 2	x 39	\$	OR	x 78	\$ 156
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+130	\$	OR	+260	\$
* If the difference in Col. 2 is less than zero, enter "0" in Col. 2.			TOTAL	0	OR	TOTAL	\$1276.00

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$.  
A duplicate copy of this sheet is enclosed.
- ☒ **The filing fees are not being paid at this time.**
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- ☐ Any patent application processing fees under 37 C.F.R. 1.17.

- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No.  
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- ☐ Any patent application processing fees under 37 C.F.R. 1.17.  
☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).  
☐ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recording of assignment documents is also enclosed.

- ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to Elizabeth A. Hanley, Esq. at **Customer Number: 000959** whose address is:

Lahive & Cockfield, LLP  
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Date: August 26, 1999

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